



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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3403

In re the Application of: MINETANI, Keiji

Group Art Unit: 2822

Serial No.: 09/893,477 ✓

Examiner: M. LEWIS ✓

Filed: June 29, 2001 .

P.T.O. Confirmation No.: 5295

FOR: COMPOUND SEMICONDUCTOR DEVICE HAVING A MESFET THAT RAISES THE MAXIMUM MUTUAL CONDUCTANCE AND CHANGES THE MUTUAL CONDUCTANCE

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents  
Washington, D.C. 20231

February 25, 2003

Sir:

RECEIVED

00028000 FEB 15 2003 TECHNOLOGY CENTER 2800  
The attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. One copy of each of these references is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

\_\_\_\_\_ that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the

undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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**23850**  
PATENT TRADEMARK OFFICE

Enclosures: PTO-1449



INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 010781	Serial No. 09/893,477
	Applicant(s): MINETANI, Keiji	
	Filing Date: June 29, 2001	Group Art Unit: 2822

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
AA	5,371,387	Ando	12/94			
AB	5,412,230	Nakagawa	05/95			
AC						
AD						
AE						

#### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Translation (Yes or No)
AF	64-074764	03/20/89	Japan	Abstract
AG	4-162539 (corresponds to USP 5,371,387)	06/08/92	Japan	Abstract
AH	5-121453 (corresponds to USP 5,412,230)	05/18/93	Japan	Abstract
AI				
AJ				

#### OTHER DOCUMENTS

	AK	Office Action from Japanese Patent Office mailed December 17, 2002 in Application No. 2000-219108, translation and prior art information list containing explanation of relevance of cited references.
	AL	
Examiner	Date Considered	